| Electronic Ack                       | knowledgement Receipt  |  |  |  |
|--------------------------------------|--|--|--|--|
| EFS ID:                              | 8693947  |  |  |  |
| Application Number:                  | 10726023   |  |  |  |
| International Application Number:    |  |  |  |  |
| Confirmation Number:                 | 2672   |  |  |  |
| Title of Invention:                  | Enterprise risk assessment manager system  |  |  |  |
| First Named Inventor/Applicant Name: | Ken Tanzillo   |  |  |  |
| Correspondence Address:              | Paul D. Greeley, Esq. Ohlandt, Greeley, Ruggiero & Perle, LL.P. 10th Floor One Landmark Square Stamford CT 06901-2682 US - |  |  |  |
| Filer:                               | Paul D. Greeley/ruth olivo   |  |  |  |
| Filer Authorized By:                 | Paul D. Greeley  |  |  |  |
| Attorney Docket Number:              | 384.7854USU  |  |  |  |
| Receipt Date:                        | 25-OCT-2010  |  |  |  |
| Filing Date:                         | 02-DEC-2003  |  |  |  |
| Time Stamp:                          | 15:11:39   |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)  |  |  |  |
| Payment information:                 |  |  |  |  |

yes

\$490

Deposit Account

Submitted with Payment

Payment was successfully received in RAM

Payment Type

| Deposit Account                              |  | 010467  | 010467   |                     |                   |  |  |
|--|--|---|--|---------------------|-------------------|--|--|
| Authorized Us                                | er   |   |  |                     |                   |  |  |
| Charge a<br>Charge a<br>Charge a<br>Charge a | f the USPTO is hereby authorized to cl<br>any Additional Fees required under 37 C.F.<br>any Additional Fees required under 37 C.F. | R. Section 1.16 (National applicati<br>R. Section 1.17 (Patent application<br>R. Section 1.19 (Document supply<br>R. Section 1.20 (Post Issuance fees | on filing, search, and exar<br>a and reexamination proc<br>fees) | mination fees       | )                 |  |  |
| File Listing  Document  Number               | Document Description   | File Name   | File Size(Bytes)/<br>Message Digest                              | Multi<br>Part /.zip | Pages<br>(if appl |  |  |
| 1  |  | 3847854.PDF   | 265823<br>db96c641199cd978d2a4c882b431ef2b3e<br>c57e             | yes                 | 9                 |  |  |
|  | Multip   | art Description/PDF files in .  | zip description  |                     |                   |  |  |
|  | Document De  | scription   | Start  | End                 |                   |  |  |
|  | Transmittal Letter  Extension of Time  Amendment Copy Claims/Response to Suggested Claims  |   | 1  | 2                   |                   |  |  |
|  |  |   | 3  | 3                   |                   |  |  |
|  |  |   | 4  | 9                   |                   |  |  |
| Warnings:                                    |  |   |  |                     |                   |  |  |
| Information:                                 |  |   |  |                     |                   |  |  |
| 2  | Fee Worksheet (PTO-875)  | fee-info.pdf  | 30169  | no                  | 2                 |  |  |
|  | . se wondreet ( 10 0/3)  |   | d837code68d80392056890518e2e7f0294d<br>45b50                     |                     |                   |  |  |
| Warnings:                                    |  |   |  |                     |                   |  |  |
| Information:                                 |  |   |  |                     |                   |  |  |
|  |  | Total Files Size (in bytes)   | 295992   |                     |                   |  |  |

1653

RAM confirmation Number

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-[d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.